

Example

4. Is consent required from the NCA to any ongoing or imminent transactions which would otherwise be prohibited acts?

Yes/No

If yes has consent been obtained?

Name of NCS person spoken to _____

Contact details _____

Date consent received from NCA _____

Date consent given by you to employee _____

5. If there are reasonable grounds to suspect money laundering but you do not intend to report the matter to the NCA please set out below the reason(s) for non-disclosure.

Date consent given by you to employee for any prohibited transactions to proceed _____

Signed _____ Dated _____

THIS REPORT TO BE RETAINED FOR AT LEAST FIVE YEARS

